North York Moors National Park Authority

Finance, Risk and Audit Standards Committee

16 November 2026

Item 15, Risk Register update

1. Purpose of the report

1.1 To update Members on any amendments to the risk register and progress on mitigation actions.

2. Background

2.1 The risk register is compiled annually with North Yorkshire Council (NYC) providing support. The risk register for 2026/27 and this was approved at full Authority meeting in March 2026.

2.2 The report will be brought back to FRAS Committee periodically through the year to update on changes where applicable and actions.

3. Risk Register 2026/27 update

3.1 The detailed risk register can be found in **appendix 1** to the report.

3.2 There have been no new risks added and none removed. The risk scores also remain the same as those reported in March 2026.

3.3 Financial resilience has further improved since March 2026 by confirmation of the new Defra grant funding agreement which provides a three-year indicative settlement which allows for better financial planning. Pressure remains on revenue funding into the medium-term following the 8.2% reduction in core grant revenue funding last year and flat cash funding to the end of this spending review period.. Senior Leadership Team regularly discusses how best to address the ongoing pressures on revenue funding which include defrayal through project and capital funding of services as well as savings opportunities. These conversations will continue through to budget setting in March. Procurement training has been delivered for all staff in October and the support of North Yorkshire Council procurement team is helping to develop further procurement and contract management skills in the workforce as well as providing professional support for complex procurement issues.

3.4 Property and asset risks are being mitigated through investment. The new depot in Helmsley is now operational which removes one of our larger risk areas. The Sawmill Lane depot is in the process of being sold. Construction of the new headquarters is underway, on time and budget. The current headquarters building is now on the market for sale. There are regular progress reports to this committee throughout the year. There is a risk that the ten-year asset plan may be delayed into next year, with the priority being deliver of the new headquarters building.

3.5 staff wellbeing, development and productivity are underway. A member of staff to support HR and health and safety has commenced in post. Leadership Training has been delivered to the first two cohorts and has been very successful. A third cohort will undertake the training in the new year. An action plan following the staff survey has progressed well with actions underway or completed. The plan and results of the survey have been communicated to staff and ownership of delivery of the action plan taken up by the new Operational Heads Group as part of their work. HR policies are being reviewed with a number of policies now revised and others in progress. The training budget is being reviewed with a new training policy devised and approved at Senior Leadership Team. A matrix is being developed that ensures all mandatory training (either statutory or in line with our risk assessments) is completed on time and recorded. It will also ensure that we can manage budgets for training effectively. The full rollout of the volunteer strategy has been delayed by substantial changes in the team but will be rolled out in the second half of the year. As part of the move to the new HQ, a project group has been set up which includes the Head of People and representative from each team to ensure that people are a key focus of the project, including both new ways of working and management of the cultural change.

3.6 project management and delivery will pick up later in the autumn, with our lead officer in this area providing PM support to the headquarters move and preparation of the Moor Connected bid. Further development of the project module of the finance system will be a priority for the second half of the year.

3.7 The induction for the new Senior Minerals & Major Developments Officer is now complete. Other actions relating to the risks associated with Woodsmith & Boulby mines are substantially completed.

3.8 Information Security is a high-profile risk for the Authority with cyber-crime one of the greater fraud risks in particular. Our IT manager is heavily involved in the headquarters project, working with the contractors to ensure requirements are met. In October, the Head of IT and Members of Senior Leadership undertook a workshop on business continuity in light of internal audit recommendations. There are a number of actions resulting from this o improve our response in the event of a cyber security issue. Discussions around the use and adoption of AI are happening across a number of National Parks; an AI policy and ethics approach needs to be considered but there are a number of opportunities to harness the productivity benefits of AI in the Authority.

3.9 The major incidents risk was added this year following the Fylingdales wildfire last year and separate internal audit recommendations. The review and conclusions from last year's wildfire is now complete. One of the recommendations from internal audit was to conduct a desktop exercise to assess response for a major incident which was carried out in October.

3.10 Health, safety and safeguarding are priority areas and we have recruited a new role, which includes responsibilities relating to health and safety to provide additional assurance on compliance. The new member of staff has picked up the tasks of assessing training compliance and developing central and consistent recording systems. Both of the delivery timescales for these will be pushed back to March

2027. An automated reporting process for accidents, incidents and near misses has been developed and will shortly be rolled out. This should make it easier to report, log all data electronically without manual intervention and capture all required data at first report. In addition to listed actions, fire safety audits have been carried out across our main sites and there are a number of actions to be completed over the course of the financial year.

3.11 Legislative requirements remain on the risk register, in part due to the need to commence a new local plan and a review of the management plan. Both of these processes are now underway, with the local plan officially launched following full Authority in June. Key aspects of the employment rights bill have been addressed, but there are still a number of aspects to conclude including guidance that is still awaited from Government.

3.12 The Authority has a net zero emissions target by 2030. The draft climate action plan has now been published, and EV has been rolled out to our car park portfolio. The new headquarters is a key component of meeting targets by 2030 with the new building being incredibly energy efficient as well as providing both the space and resources to increase the EV capability in the vehicle fleet. The building continues to be built for energy efficiency. Work continues to make our property portfolio as energy efficient as possible including the rollout of solar.

3.13 Overall most areas actions are underway. There are some areas of risk where there are resource challenges due to staff changes.

4. Financial and staffing implications

4.1 Whilst there are no direct financial or staffing implications, there are some areas of risk in action delivery due to resource challenges in areas of the Authority.

5. Contribution to National Park Management Plan

5.1 The risk register highlights the key risks to the Authority and actions to help mitigate. This is therefore an important governance tool in managing delivery of the Management Plan outcomes.

6. Legal and sustainability implications

6.1 Whilst it is not a legal requirement to have a risk register, it is a cornerstone of good governance and a recommendation of both auditors and CIPFA guidance on good governance that we have one in place.

7. Recommendation

7.1 That Members note the update on the risk register.

Contact Officer:
Pete Williams, Director of Corporate Services (S151)
01439 772700

Background documents to this report

1. Risk register for 2026/27 approved at March 2026 Full Authority meeting.

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_2	**Title**	**Financial Resilience**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM CFO
Risk Description	Failure to manage financial risks adequately (Incl loss of income, excessive cost inflation, fraud, misuse of funds &/or unforeseen clawback) resulting in consequent risk to work programmes, delivery of services and business plan objectives.					**Risk Group**	Financial
Phase 2 - Current Assessment							
Current Control Measures							
Reserves reviewed for adequacy; established budget monitoring processes; setting a balanced budget; production of an annually-reviewed MTFS; measures in place to mitigate against fraudulent activity; engagement with Defra and other partners; focus on earned income generation; focus on opportunities to grow income from external funding; effective day to day financial management; Financial Framework developed to enable appropriate decision taking and governance; good, externally verified processes and financial control measures in place; regular financial training for staff and Members; programme of internal and external audits; review of all post recruitment to ensure best value for money; ensuring that the business plan delivery is affordable; close financial management of property and assets;							
Current Probability	H	**Current Impact**	VH	**Current Risk Score**	**20**	**Current Risk Category**	Very High
Phase 3 - Risk Mitigation Plan							

Reduction Action		**Action Manager**	**Due Date and status**		**%**	**Date Completed**
RR_NYM_142	Work with NPA Board to prioritise core funding activity and also review service delivery	NYM All Mgt Board	31-Mar-2027		25%	
RR_NYM_149	Produce a 10 year asset management plan identifying key liabilities, costs and priorities	NYM Do CS	31-Mar-2027		0%	
RR_NYM_160	De-risk core funded services by increasing project funded delivery	NYM All Directors	31-Mar-2027		25%	
RR_NYM_161	Ensure strong project oversight for major capital projects	NYM Do CS	31-Mar-2027		50%	
RR_NYM_162	Improve reporting of capital given the changes to the nature of DEFRA grant funding	NYM Do CS	31-Mar-2027		50%	
RR_NYM_70	Consider and agree actions required due to a diminishing National Park grant as a result of an adverse Comprehensive Spending Review settlement	NYM All Directors	30-Jun-2026		100%	30-Sep-2026
RR_NYM_82	Undertake finance and procurement training for all staff for whom finance and procurement duties are relevant during the course of the year.	NYM Do CS	31-Mar-2027		50%	
RR_NYM_83	Continue to develop and train on the new finance system to ensure maximise its benefits with focus on project management, reporting and expenses.	NYM Do CS	31-Mar-2027		25%	
RR_NYM_84	Work with DEFRA to improve core grant funding position, lobby for capital support and enable overheads to be included in external funding	NYM All Directors	31-Mar-2027		50%	

Phase 4 - Target Risk Assessment							
Target Probability	H	**Target Impact**	H	**Target Risk Score**	**16**	**Target Risk Category**	High

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 – Identification							
Ref.	NYM_8	**Title**	**Property and assets**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do CS
Risk Description	Not keeping up maintenance of or investment in our assets results in an adverse impact on operational ability to deliver services, health and safety risks and financial impacts. Major property projects absorb significant staff time and /or incur excessive cost overruns leading to adverse impact on delivery of authority's business plan					**Risk Group**	Property
Phase 2 - Current Assessment							
Current Control Measures							
Robust mechanisms in place to measure CO2e emissions from the Authority's buildings; Contractors engaged to build the new HQ/depot; planning consent being finalised with NYC; employment of qualified property professional on a 3yr contract; annual review of property terrier; increased proactivity in addressing ongoing asset issues; use of capital funding and reserves to reduce liabilities; financial plan in place for major capital projects;							
Current Probability	H	**Current Impact**	VH	**Current Risk Score**	**20**	**Current Risk Category**	Very High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_105	Bringing the new southern depot into full operation, including moving staff from Sawmill Lane to the Old Vicarage	NYM Do CS; NYM Do P	30-Jun-2026	100%	15-Aug-2026
RR_NYM_106	Maintain effective engagement with staff and external stakeholders on the new HQ	NYM Do CS	31-Mar-2027	75%	
RR_NYM_108	Identify and create action plans for liabilities across the estate – including review and update of the property terrier and gain a fuller understanding of the estate.	NYM Do CS	31-Mar-2027	50%	
RR_NYM_149	Produce a 10 year asset management plan identifying key liabilities, costs and priorities	NYM Do CS	31-Mar-2027	0%	
RR_NYM_164	Ensure that contracts are in place that manage/mitigate the Authority's risk on major capital projects and good contract management process to support throughout the project	NYM Do CS	31-Mar-2027	50%	
RR_NYM_165	Regular reporting to Members on property projects to invest in the new HQ	NYM Do CS	31-Mar-2027	50%	
RR_NYM_88	Maximise the value of sale of property assets that are no longer required	NYM Do CS	31-Mar-2027	50%	

Phase 4 - Target Risk Assessment							
Target Probability	H	**Target Impact**	H	**Target Risk Score**	**16**	**Target Risk Category**	High

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 – Identification							
Ref.	NYM_5	**Title**	**Staff wellbeing, development and productivity**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Mgt Team
Risk Description	The wellbeing of the workforce is essential to productivity and the success of the organisation. Not looking after staff can result in poor retention, recruitment challenges, an unhappy working environment, all of which will impact delivery lack of focus on development and management of staff can leave people feeling undervalued and disengaged; failure to manage staff through change both in service delivery and working environment can impact on staff wellbeing, creating additional stress; poor staff communication can lead to misinformation and people feeling undervalued.					**Risk Group**	Capacity
Phase 2 - Current Assessment							
Current Control Measures							
Formal appraisal scheme; availability of health advice for all staff, flexible approach to working hours and work location, spot reward schemes. All posts reviewed when become vacant to ensure they are filled in the most constructive way; opportunities for younger people to work for the Authority via apprenticeships, graduate traineeships and internships, a training budget to cover both mandatory and development training. Forward planning to manage future retirements from key posts funding secured to enable the continuation and expansion of apprentice training programmes; new performance framework and approach to rewards/recognition; new approach to salary progression; Organisational values; staff comms through the Hub and bi-monthly meetings; staff communication plan delivered throughout the year							
Current Probability	H	**Current Impact**	H	**Current Risk Score**	**16**	**Current Risk Category**	High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_113	Ensure long-term funding and continuing management of the apprentices to ensure that they are fully trained across the full range of skills in their apprenticeship.	NYM Do CS	31-Mar-2027	70%	
RR_NYM_133	Identify areas of excessive pressure and seek to address issues early.	NYM Do CS	31-Mar-2027	0%	
RR_NYM_134	Ensure full support through strategic changes in services where there are financial pressures or needs to change the service to deliver from alternative resources.	NYM Do CS	31-Dec-2026	0%	
RR_NYM_138	Continue to develop resourcing solutions for projects including managing smooth transfer of staff from one project to another maintaining continuity of employment. Anticipate skill requirements for staff to ensure they can move smoothly between projects. Project champions, experience of project management built into profiles and recruitment	NYM Do CS	31-Mar-2027	75%	
RR_NYM_144	Review / update HR policies with a systematic approach based on risk. Deliver staff training on key policies that effect all staff.	NYM Ho People	31-Mar-2027	20%	
RR_NYM_166	Create new Operational Heads of Service Group to strengthen open, collective operational leadership	NYM HoS Group	31-Dec-2026	100%	30-Sep-2026
RR_NYM_167	Ensure development of necessary organisational flexibility and cultural change.	NYM Mgt Team	31-Mar-2027	50%	
RR_NYM_28	Review the training budget and how this is used, including putting in proper controls to ensure essential training is carried out on time and to the level required.	NYM Ho People	31-Mar-2027	50%	
RR_NYM_31	Ensuring that training and induction plans are in place for Members so that they understand their responsibilities in the role	NYM Members; NYM Mgt Team	31-Mar-2027	50%	
RR_NYM_33	Delivery of the new volunteering strategy including maximising opportunities or volunteers as well as increasing integration of volunteers into delivery	NYM Do CS	31-Mar-2027	25%	

RR_NYM_37	Carry out staff survey and implement all actions; survey published Jan 26				NYM All Directors; NYM Do CS	31-Dec-2026	75%	
RR_NYM_38	Manage the disruption and culture changes from the HQ property project, including staff moves and changes in working practices and environment.				NYM Do CS	31-Mar-2027	50%	
RR_NYM_77	New leadership development programme to complete delivery				NYM Do CS	31-Dec-2026	100%	30-Sep-2026
RR_NYM_87	Identify and deliver key corporate training requirements such as EDI, recruitment and management skills. Promote more the content of Learning Resources available to us.				NYM Do CS	31-Jan-2027	30%	
Phase 4 - Target Risk Assessment								
Target Probability	M	**Target Impact**	H	**Target Risk Score**	**12**	**Target Risk Category**	Medium High	

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_12	**Title**	**Project Management and Delivery**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do C&CC
Risk Description	Failure to effectively manage, monitor and deliver organisational projects resulting in under achievement of business objectives, loss of reputation with external funders leading to loss of income, adverse effect on core operational services, erosion of confidence among a large segment of the workforce					**Risk Group**	Financial
Phase 2 - Current Assessment							
Current Control Measures							
Project Dashboard in place; regular reporting on project performance to SLT and Members; importance communicated to staff regarding early anticipation of issues so that they can be managed; introductory training for all staff; specialist project manager in place; Operational Heads Group in place; infrastructure for identifying pipeline of future projects; regular engagement with wider workforce to encourage inclusion; positive engagement with external funders; regular SLT and Member reporting of project progress and management of the future pipeline; new project system implemented but needs to be developed fully. Continue partnership working with funders and key bodies such as NPP and the Trust.							
Current Probability	M	**Current Impact**	VH	**Current Risk Score**	**15**	**Current Risk Category**	High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_101	Embed into practice the learnings from the Project Management training for all staff	NYM PDM	31-Mar-2027	60%	
RR_NYM_137	Maximise opportunities for full cost recovery including corporate and volunteer support.	NYM Do CS; NYM Ex FM	31-Mar-2027	60%	
RR_NYM_138	Continue to develop resourcing solutions for projects including managing smooth transfer of staff from one project to another maintaining continuity of employment. Anticipate skill requirements for staff to ensure they can move smoothly between projects. Project champions, experience of project management built into profiles and recruitment	NYM Do CS	31-Mar-2027	75%	
RR_NYM_146	Design and deliver the training for the project management module of the finance system. Develop the design of the system to maximise the efficiencies	NYM Do CS; NYM PDM	31-Mar-2027	25%	
RR_NYM_168	Operation Heads Group needs to work with SLT to develop stranger project pipeline oversight	NYM Do C&CC	31-Mar-2027	75%	

Phase 4 - Target Risk Assessment							
Target Probability	M	**Target Impact**	H	**Target Risk Score**	**12**	**Target Risk Category**	Medium High

Phase 1 - Identification							
Ref.	NYM_7	**Title**	**Woodsmith and Boulby Mines**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do C&CC
Risk Description	Change in strategic direction by companies impacts on long term viability of developments, or change to the nature of the developments, resulting in financial risk and consequent inability to deliver on the mitigation projects					**Risk Group**	Strategic
Phase 2 - Current Assessment							
Current Control Measures							
Availability of a dedicated staff resource to manage the day-to-day interface between the Authority's oversight role and the developers; positive working relationship between Authority's senior officer and mining senior managers. Security of having Escrow funding to make good the landscape in the event of a total financial failure and provide 10 years of s106 funding for Authority work programmes; continual review of financial commitments related to s106 programmes and monitoring of resource deployment; Regular reporting to SLT of s106 allocation and spending, Regular and positive engagement with ICL & Anglo American at senior level including discussions around financial position of businesses, Annual actions plans (including financial deployment) developed and implemented for both contributions; Implementation of planning conditions							
Current Probability	M	**Current Impact**	VH	**Current Risk Score**	**15**	**Current Risk Category**	High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_132	Develop a full risk assessment of the potential disruption to Authority projects (both direct and indirect) that are contingent on s106 funding	NYM Do C&CC; NYM Do CS	31-Dec-2026	80%	
RR_NYM_163	Robust induction training handover for new Senior Minerals Officer for continuity of monitoring	NYM Do P	31-May-2026	100%	30-Sep-2026
RR_NYM_52	Ensure robust processes are followed and seek external advice where risk areas are identified in relation to major finance changes (ongoing)	NYM Do P	31-Jan-2027	75%	
RR_NYM_54	Ensure provisional fall-back plan is in place for the Authority's projects and related staff in the event of a financial failure. Update annually.	NYM Do C&CC	31-Jan-2027	75%	

Phase 4 - Target Risk Assessment							
Target Probability	M	**Target Impact**	H	**Target Risk Score**	**12**	**Target Risk Category**	Medium High

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_9	**Title**	**Information Security**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do CS
Risk Description	Failure to ensure the security and quality of the Authority's digital systems and data, its effective storage and use to maximise its value as a key Authority asset. This could result in an impact on efficiency and decision making, potential data breaches, disruption, criticism and loss of service.					**Risk Group**	Info Gov

Phase 2 - Current Assessment							
Current Control Measures							
Permission to access key security systems e.g. firewalls are restricted to IT staff only; permissions regarding file structure limit access to those who need to use the data held; external consultancy support available via contract for any unforeseen issues; off-site backup of data ensures availability; up to date anti-virus protection on all systems; regular external audits are undertaken with recommendations followed up and reported to members; an IT Disaster Recovery Plan is in place; advice has been given regarding data security and homeworking. Data protection training is provided periodically; FoI/DPA requests are dealt with and answered centrally; IT Manager is part of NPA network for exchange of advice and support. Run quarterly scans on external IP address to check security via an automated system; implementing any recommendations arising from this; Danby Lodge connectivity improved; acceptable use policy circulated to staff following annual revision; security training for all staff undertaken annually plus regular phishing testing; Members updated annually on progress and key stats relating to IT;							
Current Probability	M	**Current Impact**	VH	**Current Risk Score**	15	**Current Risk Category**	High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_150	Look for opportunities for automation of processes to help reduce risk and increase efficiency in delivery	NYM All Directors; NYM IT Manager	31-Mar-2027	25%	
RR_NYM_151	Working with the development of the new HQ to ensure IT requirements are delivered.	NYM IT Manager	31-Mar-2027	50%	
RR_NYM_65	Ensure that any advice from the National Centre for Cyber Security is considered and implemented, including keeping up to date on fraud risk in relation to our systems. (ongoing)	NYM IT Manager	31-Mar-2027	50%	
RR_NYM_68	Implement the disaster recovery / business continuity recommendations from the Internal Audit report.	NYM Do CS; NYM IT Manager	30-Sep-2026	100%	12-Oct-2026
RR_NYM_69	Continue support for the new finance and planning systems, including testing revised protocols in the event of the loss of new financial and planning systems	NYM Do CS; NYM Do P	31-Dec-2026	90%	

Phase 4 - Target Risk Assessment							
Target Probability	M	**Target Impact**	H	**Target Risk Score**	12	**Target Risk Category**	Medium High

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_13	**Title**	**Major Incidents**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do CS
Risk Description	Failure to plan for, respond to and recover effectively from major incidents resulting in deflection away from delivery of the strategy and business plan, change in delivery priorities for the Authority, and potential impact to authority property and reduction in income generation					**Risk Group**	Business Continuity

Phase 2 - Current Assessment							
Current Control Measures							
Disaster recovery plan (primarily IT led); NYLRF key partners; strong home working processes; understanding of environmental and climate risks and potential impact on authority business; full programme of internal audits;							
Current Probability	M	**Current Impact**	H	**Current Risk Score**	**12**	**Current Risk Category**	Medium High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_155	Ensure business continuity plans are developed, reviewed, exercised and kept up to date (incl definitions) and internal audit recommendations are carried out	NYM Do CS	31-Jan-2027	80%	
RR_NYM_156	Embed the recommendations from previous incident debriefs into practice	NYM All Directors	31-Jan-2027	75%	
RR_NYM_157	Engage with regional partners (incl NYLRF) in prevention and preparedness exercises	NYM All Directors	31-Jan-2027	75%	
RR_NYM_158	Conclude the debrief and lessons learned from the Langdale Moor wildfire	NYM All Directors	30-Jun-2026	100%	30/06/2026
RR_NYM_159	Work with landowners to build landscape resilience - ongoing	NYM Do C&CC	31-Mar-2027	50%	

Phase 4 - Target Risk Assessment							
Target Probability	L	**Target Impact**	H	**Target Risk Score**	**8**	**Target Risk Category**	Medium

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_6	**Title**	**Health, Safety and Safeguarding**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do CS
Risk Description	Failure to meet Health, Safety and Safeguarding statutory requirements resulting in possible loss of life/serious injuries, prosecution, claims, reputational damage and fines.					**Risk Group**	Health, Safety & Wellbeing
Phase 2 - Current Assessment							
Current Control Measures							
Cross departmental Health and Safety Group meeting regularly; up to date health and safety policies; risk assessments of all key activities; annual corporate action plans approved by Members; periodic reviews of health and safety practice; individual responsibility made clear in job descriptions; initial training and refresher training periods established; regular managerial monitoring of health and safety practice; health and safety standards made explicit to external contractors; volunteers are routinely briefed on H&S prior to practical work tasks; Service Level Agreement with NYC to provide additional expert support; standing agenda item at team and SLT meetings; agreements with partner volunteer groups in place; support staff both internally (through mental health first aiders and prevailing management style) and externally via Health Assured. Annual review of the Health and Safety and Safeguarding policies plus generic risk assessments to ensure that they remain fit for purpose and take external advice where appropriate; designated safeguarding officer and deputy; DBS & enhanced DBS checks where required; regular inspections of prioritised rights of way; established policy for management of trees on Authority sites; Safeguarding is a standing item at H&S meetings; compliance with COSHH at all Authority sites							
Current Probability	M	**Current Impact**	H	**Current Risk Score**	**12**	**Current Risk Category**	Medium High

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_126	Develop scenario action plans including incident and emergency response for major incidents; and test via desktop exercise	NYM All Directors	31-Mar-2027	75%	
RR_NYM_135	Ensure that actions recommended in annual health surveillance are fully implemented and documented ccm	NYM Do CS	31-Mar-2027	10%	
RR_NYM_152	Full review of training requirements for staff and ensure compliance and budget availability.	NYM Ho People	30-Sep-2026	75%	
RR_NYM_153	Develop a central recording area for all key health and safety deliverables with a clear action, timescale and owner to reduce risk of missing key actions	NYM Do CS	30-Sep-2026	25%	
RR_NYM_154	Highlight the need to reporting of near misses, and ensure staff understand the importance of reporting so that trends can be spotted	NYM Do CS	31-Jan-2027	75%	
RR_NYM_169	Complete the review of the system of support to lone working incl technological solution	NYM Do CS	31-Jan-2027	30%	
RR_NYM_43	Develop and implement a programme of communication on health and safety matters for staff/volunteers, using a variety of different communication methods	NYM Do CS; NYM Ho Vol Service	31-Mar-2027	50%	
RR_NYM_44	Define who needs to undertake IoSH Managing Safely training and then implement	NYM Do CS	31-Mar-2027	25%	

Phase 4 - Target Risk Assessment							
Target Probability	L	**Target Impact**	H	**Target Risk Score**	**8**	**Target Risk Category**	Medium

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_10	**Title**	**Legislative Requirements – Potential Breach of Statutory Duties**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM CEO
Risk Description	Failure to comply with legislative requirements such as procurement, data protection, statutory environmental responsibilities, equalities and planning legislation. Risk of legal challenge to the NPA, officer time, financial penalties, adverse publicity on poor performance and reputational harm.					**Risk Group**	Legislative

Phase 2 - Current Assessment							
Current Control Measures							
Officers monitor policy and legislative developments across a range of relevant areas: planning, HR, H&S, data protection and environment. Provision of in-house legal advice and appointment of statutory Monitoring Officer: Staff training including planning legal update courses; Tracking of public sector legal cases on weekly basis. Consideration of legal implications on all Committee Reports: Regular exchange through professional officer networks, regular monitoring of statutory planning performance indicators good cross departmental working on joint issues such as BNG; performance monitoring and management processes embedded across all Authority including appointment of performance monitoring officer; established EDI group to advise authority on EDI issues; staff training where appropriate for regulatory changes; Member training to ensure they understand the statutory responsibilities of the role; advice and guidance from central govt reviewed regularly; internal auditors review compliance and identify opportunities to improve practice							
Current Probability	L	**Current Impact**	H	**Current Risk Score**	**8**	**Current Risk Category**	Medium

Phase 3 - Risk Mitigation Plan					
Reduction Action		**Action Manager**	**Due Date and status**	**%**	**Date Completed**
RR_NYM_116	Carry out annual review of key legislative responsibilities to assess effectiveness	NYM CEO	31-Jan-2027	0%	
RR_NYM_140	Continue delivery of EDI training bespoke to the Authority's requirements focussed on Members and staff.	NYM Do CS; NYM Do RW	31-Mar-2027	100%	30/06/2026
RR_NYM_170	Commence Local Plan review	NYM Do P	31-Mar-2027	100%	29/06/2026
RR_NYM_171	Commence National Park Management Plan review	NYM CEO	31-Mar-2027	100%	31/07/2026
RR_NYM_172	Ensure compliance with the Employment Rights Bill	NYM Ho People	31-Jan-2027	80%	

Phase 4 - Target Risk Assessment							
Target Probability	L	**Target Impact**	M	**Target Risk Score**	**6**	**Target Risk Category**	Medium

North York Moors National Park Authority – Strategic

Risk Register: **November 2026 review – detailed**

Phase 1 - Identification							
Ref.	NYM_3	**Title**	**Authority's Net Zero Emissions Target**	**Risk Owner**	NYM CEO	**Risk Manager**	NYM Do CS
Risk Description	National Park Authority fails to achieve net zero GHG emissions by 2030, resulting in an inability to meet public expectations, government targets for public bodies and significant reputational damage.					**Risk Group**	Strategic
Phase 2 - Current Assessment							
Current Control Measures							
Good understanding of emissions caused by the Authority's activities and plans are in place to address these; processes in place to accurately track emissions; all electricity used is from renewable sources; Business Plan outcomes are directed towards achieving these targets; targets are identified in the Business Plans and resources have been identified; climate change-specific training delivered to NYMNPA Members and staff; vehicle usage policy prepared and disseminated; understanding of the current UK policy framework; procurement to replace existing fleet with electric vehicles when the opportunity arises; data on Authority's CO2e emissions maintained; opportunities and find financial support to secure resources to carry out decarbonisation of the Authority's activities including buildings work explored;							
Current Probability	L	**Current Impact**	M	**Current Risk Score**	**6**	**Current Risk Category**	Medium

Phase 3 - Risk Mitigation Plan						
Reduction Action		**Action Manager**	**Due Date and status**		%	**Date Completed**
RR_NYM_13	Remind staff that sustainability is an important factor in our procurement process for quotation and tender level supply of goods and services. Provide training for new staff.	NYM Do CS	31-Mar-2027	▶	50%	
RR_NYM_15	Continue to deliver climate change-specific training to NYMNPA Members and staff to increase awareness/level of understanding	NYM Do C&CC	31-Mar-2027	▶	0%	
RR_NYM_16	Complete the installation of EV charge points at principal NYMNPA-owned car parks and visitor centres	NYM Do CS	30-Apr-2026	✓	100%	31-Dec-2025
RR_NYM_173	Complete, publish and deliver the climate action plan	NYM Do C&CC	30-Jun-2026	■	75%	
RR_NYM_174	Ensure that the build and operation of the new HQ continues to support the Authority's decarbonisation agenda	NYM Do CS	31-Mar-2027	▶	75%	

Phase 4 - Target Risk Assessment							
Target Probability	L	**Target Impact**	L	**Target Risk Score**	**4**	**Target Risk Category**	Low

Risk Reduction Action Status Key	
Symbol	Meaning
■	The risk reduction action is overdue for completion or review.
▲	The risk reduction action is approaching its expected completion or review date.
▶	The risk reduction action is on target.
✓	The risk reduction action has been completed.