

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification												
Risk Number	201/158	Risk Title	201/158 - Financial Strategy					Risk Owner	NYM CEO		Manager	NYM Ho CS
Description	Failure to manage the Medium Term Financial Strategy in light of diminished funding with consequent risk to work programmes; continued loss of income due to ongoing c-19 pandemic, adverse impact on public finances associated with c-19 adversely affecting future levels of National Park grant; more limited external funding opportunities. Failure to continue to develop and implement the income generation strategy and property strategy. Increasing external uncertainty may become a more significant factor over the next 12 months. Failure to detect and act upon fraudulent activity.						Risk Group	Finance		Risk Type		
Phase 2 - Current Assessment												
Current Control Measures			Reserves reviewed for adequacy; established budget monitoring processes; engagement with Defra and other partners; focus on income generation and seeking opportunities to optimise income; effective day to day financial management; Financial Framework developed to enable appropriate decision taking; Grants to third parties and future budget splits agreed; good, externally verified processes and financial control measures in place.									
Probability	H	Objectives	H	Financial	H	Services	H	Reputation	H	Category	1	
Phase 3 - Risk Reduction Actions												
							Action Manager	Action by	Completed	%		
Reduction	201/19 - Ensure financial resources are able to match the revised National Park Management Plan outcomes						All Mgt Board	Thu-31-Mar-22		0%		
Reduction	201/20 - Set stretching but realistic income targets; continue to monitor performance of the income generating services against monetary expectations (ongoing)						All Directors	Thu-31-Mar-22		0%		
Reduction	201/21 - Continue to review and amend systems and processes to ensure value for money; eg changing invoice/expense processing; system changes to be subject to audit processes						NYM Ho CS	Wed-30-Jun-21		0%		
Reduction	201/22 - Property review to consider current funding of assets, establishing overarching aims for assets and funding to achieve this. This may require disposal of some current assets and/or borrowing						All Members / Mgt Board	Thu-31-Mar-22		0%		
Reduction	201/1281 - Plan a number of scenarios for how different levels of medium term budget settlements will be managed.						NYM Ho Finance	Thu-30-Sep-21		0%		
Reduction	201/1283 - Internal and external audits to ensure proper compliance with both internal processes and financial accounting standards						NYM Ho Finance	Thu-31-Mar-22		0%		
Reduction	201/1288 - Continue external funding initiatives where they align with NPA objectives (ongoing, specifically natural environment and decarbonisation work).						All Directors NYM CEO	Thu-31-Mar-22		0%		
Reduction	201/1293 - Continue to horizon scan to identify and quantify changes to assumptions which underpin the MTFs and annual budget recognising the complexity and interdependencies (ongoing)						NYM Ho CS	Thu-31-Mar-22		0%		
Reduction	201/1963 - Continue to implement measures to combat fraud including keeping up to date with latest developments						NYM Ho Finance	Thu-31-Mar-22		0%		
Phase 4 - Post Risk Reduction Assessment												
Probability	M	Objectives	H	Financial	H	Services	M	Reputation	M	Category	2	
Phase 5 - Fallback Plan												
Fallback Plan											Action Manager	

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification											
Risk Number	201/527	Risk Title	201/527 - Capacity and Resilience				Risk Owner	NYM CEO		Manager	NYM Mgt Team
Description	A lack of capacity and resilience within the Authority to deliver the externally funded work programme and Business Plan aspirations leads to a significant decline in effectiveness, service quality &/or insufficient progress in carrying out required developments, increased absence and potential claims.					Risk Group	Capacity		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Revised Business Plan to April 2022 is clear about the Authority's priorities; some resilience and capacity in key areas has been established (e.g finance is managed via external agreements). There is a good understanding of the impact our changing budget is having on core areas of work; there is active management of workload through the formal appraisal scheme; strong emphasis on making health/advice available to ensure wellbeing, flexible approach to working hours during c-19; managers encouraged to engage regularly with staff, particularly while homeworking.								
Probability	H	Objectives	H	Financial	M	Services	H	Reputation	M	Category	1
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed	%	
Reduction	201/1734 - Develop and implement a plan for post c-19 working patterns. Plan developed by 30 April.						NYM Ho CS	Fri-30-Apr-21		0%	
Reduction	201/1735 - Perform an analysis of current workforce to include succession planning and recruitment based on future strategic requirements and use to inform strategic workforce development plan						NYM Mgt Team	Thu-31-Mar-22		0%	
Reduction	201/1736 - Members and senior officers to ensure that the focus of work is on delivering agreed BP targets (ongoing)						NYM Members NYM Mgt Team	Thu-31-Mar-22		0%	
Reduction	201/1880 - Ensure that there is effective communication, liaison and integrated working between teams in different Departments (ongoing)						NYM Mgt Team	Thu-31-Mar-22		0%	
Reduction	201/1881 - Ensure that annual Departmental Action Plans focus teams and individuals on essential work relating to the Business Plan targets						NYM Mgt Team	Thu-1-Apr-21		0%	
Reduction	201/1886 - Ensure that the legal services contract is let with effect from 1 January 2022						NYM Ho CS	Mon-31-Jan-22		0%	
Reduction	201/1888 - Full review of the Business Plan and National Park Management Plan to take place later in 2021 Targets will take account of available resources and match workload to the staff and financial resources available so that we achieve a few matters well						NYM Mgt Team	Fri-31-Dec-21		0%	
Phase 4 - Post Risk Reduction Assessment											
Probability	M	Objectives	H	Financial	M	Services	H	Reputation	M	Category	2
Phase 5 - Fallback Plan											
Fallback Plan										Action Manager	

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification											
Risk Number	201/493	Risk Title	201/493 - Minerals Development Pressure on the Park				Risk Owner	NYM CEO		Manager	NYM DoP
Description	Lack of capacity and resources both within the Authority and partner organisations to deal with the complex issues relating to the actual/potential extraction of minerals (conventional gas, shale gas and potash) resulting in an inability to effectively assess the environmental impact with the socio economic benefits, negative impact on other Authority work; potential for reputational damage both locally and nationally, legal action, negative financial impact and knock on effects to other work as resources transferred					Risk Group	First and Second Purpose & Statutory Duty		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Professional advice where appropriate, engagement with partner organisations and other involved bodies, recovery of an element of costs through appropriate mechanisms such as Planning Performance Agreement; Legal and Environmental advisors in place, public meetings held when appropriate, regular advice and reporting to Members, dialogue with Govt and local MPs where appropriate; impact assessment on National Park Purposes and possible mitigation measures carried out, public relations activity, financial planning where appropriate, continued commitment to impartiality and objectivity in the determination process; firm "corporate Authority" approach to Fracking in or under the National Park;								
Probability	M	Objectives	H	Financial	H	Services	M	Reputation	H	Category	2
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed	%	
Reduction	201/13 - Continue to monitor costs and review adequacy of resources, including recognising the long term need for in-house minerals planning expertise and keep a watch on planning workloads (ongoing)						NYM DoP NYM Ho CS	Thu-31-Mar-22		0%	
Reduction	201/196 - Proactively manage media interest and effectively communicate the National Park's position (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/199 - Continue to monitor new developments including the Government's moratorium on fracking using planning officers society and peer groups effectively (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/201 - Proactive liaison and negotiation with mineral companies wishing to extract in the National Park (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/863 - Ongoing communications re progress to all stakeholders						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/864 - Maintain access to consultancy assistance to provide professional and technical assistance where needed, as well as access to legal advice where necessary, particularly around Boulby Potash Mining during 2021-22.						NYM CEO NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/866 - Monitoring compliance with planning permissions and S 106 agreements where applicable (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/867 - Monitor performance of specialist professional support and ensure appropriate measures are in place to maintain continuity of expertise (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/868 - Plan for and seek resources to ensure ability to manage the planning application process and delivery of our Purposes (ongoing)						NYM DoP	Thu-31-Mar-22		0%	
Reduction	201/950 - Completion of a Joint Minerals and Waste Plan. Progress to adoption following consultation on main modifications early 2021 and subject to final Inspector's report. Adoption expected Summer 2021.						NYM DoP	Tue-31-Aug-21		0%	
Reduction	201/1287 - Continue to assess the 'corporate Authority' approach on shale gas in line with developing government policy and the requirements of a statutory planning Authority (ongoing)						NYM DoP	Thu-31-Mar-22		0%	

North York Moors National Park Authority – Strategic

Risk Register: March 2021 Review – detailed

Phase 4 - Post Risk Reduction Assessment											
Probability	M	Objectives	M	Financial	M	Services	L	Reputation	H	Category	2
Phase 5 - Fallback Plan											
										Action Manager	
Fallback Plan											

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification											
Risk Number	201/203	Risk Title	201/203 - Health and Safety				Risk Owner	NYM CEO		Manager	NYM Ho CS
Description	Failure to meet Health and Safety statutory requirements resulting in possible loss of life/serious injuries, prosecution, claims, reputational damage and fines.					Risk Group	Health and Safety		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Cross departmental Health and Safety Group meeting regularly; appropriate health and safety policies; risk assessments of all activities; annual corporate action plans; periodic reviews of health and safety practice; individual responsibility made clear in job descriptions; initial training and refresher training periods established; regular managerial monitoring of health and safety practice, including; strengthened reporting processes and checks on compliance; health and safety standards made explicit to external contractors; volunteers are routinely briefed on H&S prior to practical work tasks; Service Level Agreement with NYCC to provide additional expert support; routinely discussed at team meetings and Directors meetings; enhanced IoSH training for Managers; All Departments have targets to carry out spot checks on staff, volunteers and contractors; Directors have individual spot check targets to support this; contracts/agreements with associate volunteer groups etc agreed; good support both internally (through mental health first aiders and prevailing management style) and externally via Health Assured.								
Probability	M	Objectives	M	Financial	M	Services	M	Reputation	H	Category	2
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed	%	
Reduction	201/1303 - Engage and engender ownership in staff of Health & Safety via a series of different methods e.g. training, bulletin, posters, departmental meetings, team meetings. Plan to be developed by 30 June, then (ongoing)						NYM Ho CS	Thu-31-Mar-22		0%	
Reduction	201/1305 - Provide information for the progress report to members quarterly to allow scrutiny of actions June, September, November 2021 and February 2022						NYM Ho CS	Thu-31-Mar-22		0%	
Reduction	201/1306 - Implement agreed annual health & safety action plan for 2021/22						NYM Ho CS	Thu-31-Mar-22		0%	
Reduction	201/1389 - Ensure contracts/agreements are in place with associate volunteer groups, community groups and others prior to commencement of tasks (ongoing)						NYM DIR PS NYM Ho CS	Thu-31-Mar-22		0%	
Reduction	201/1741 - Develop and implement a programme of communication on health and safety matters for volunteers, using a variety of different communication methods (plan to be developed by 31 May 2021, implementation then ongoing)						NYM Ho CS NYM Ho Vol Service	Thu-31-Mar-22		0%	
Reduction	201/1743 - Assess the effectiveness of volunteer Task Day Leaders (TDL) training by; a) organising regular meetings of TDLs with h&s as an agenda item; b) regular spot checking of activities which are led by TDLs; c) develop (by 31 May) and implement a timetable for the above 2 activities (ongoing)						NYM Ho CS NYM Ho Vol Service	Thu-31-Mar-22		0%	
Reduction	201/1744 - Assess Corporate Health and Safety Performance using the LGA matrix and take actions as appropriate. (next assessment April 2021)						NYM Ho CS	Fri-30-Apr-21		0%	
Reduction	201/1747 - Ensure appropriate training is provided to Members relating to compliance with H&S procedures and practices						NYM Ho CS	Fri-30-Apr-21		0%	
Reduction	201/1948 - Ensure that all key tasks are risk assessed in relation to c-19 and that these are reviewed frequently, taking the latest national advice into account. Action to take place every 2 months.						NYM Ho CS	Thu-31-Mar-22		0%	

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Reduction	201/1957 - Ensure that support for mental health is publicised via regular communication and that initiatives are set up and run by mental health first aiders. Programme for these initiative to be in place by 1 April					NYM Ho CS	Thu-1-Apr-21		0%		
Phase 4 - Post Risk Reduction Assessment											
Probability	M	Objectives	M	Financial	M	Services	M	Reputation	H	Category	2
Phase 5 - Fallback Plan											
Fallback Plan									Action Manager		

North York Moors National Park Authority – Strategic

Risk Register: March 2021 Review – detailed

Phase 1 - Identification											
Risk Number	201/583	Risk Title	201/583 - Devolution Implications (for NPA's Planning Role)				Risk Owner	NYM CEO		Manager	NYM DoP
Description	Potential of the Authority's spatial planning role being superseded/transferred to a devolved combined authority with mayoral statutory planning powers resulting in disjointed strategic planning for the area and inability to deliver the national park statutory purposes.					Risk Group	Strategic		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Raising awareness of potential consequences of impact of a combined authority spatial development strategy on NPAs planning role through engagement with DEFRA and MHCLG representatives, joint working with Yorkshire Dales National Park, Authority resolution to protect NPAs planning role, including through efforts to seek changes in legislation if required and positive engagement with the LEP and other local authorities involved in the York and North Yorkshire Devolution submission								
Probability	M	Objectives	H	Financial	L	Services	H	Reputation	H	Category	2
Phase 3 - Risk Reduction Actions											
						Action Manager	Action by	Completed	%		
Reduction	201/1291 - Continue to engage with Government departments to press for legal resolution to potential threat to NPA planning role					NYM CEO NYM DoP	Sun-31-Jan-21		0%		
Reduction	201/1292 - Extend joint working to increase engagement at national level – through National Parks England lobbying role					NYM CEO NYM DoP	Thu-31-Mar-22		0%		
Reduction	201/1312 - Raise political lobbying through contact with MPs, particularly high profile MPs with NP constituencies and contact DEFRA Minister.					NYM CEO	Thu-31-Mar-22		0%		
Reduction	201/1314 - Continue to support the devolution submission in principle but maintain NPAs stated position on its planning role through ongoing engagement with local authorities in the YNY area					NYM CEO NYM DoP	Thu-31-Mar-22		0%		
Reduction	201/1955 - Seek maximum awareness of the potential weakening of / to the planning role of NPAs and protection of English National Parks through direct media communication.					NYM CEO NYM DoP NYM Comms Officer	Thu-31-Mar-22		0%		
Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	H	Financial	L	Services	H	Reputation	H	Category	3
Phase 5 - Fallback Plan											
Fallback Plan									Action Manager		

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification												
Risk Number	201/488	Risk Title	201/488 - Wildlife and Biodiversity				Risk Owner	NYM CEO		Manager	NYM DIR CONSERV	
Description	Failure to create opportunities for wildlife and biodiversity in the Park resulting in a reduction of species, loss of reputation and financial impact.					Risk Group			Risk Type			
Phase 2 - Current Assessment												
Current Control Measures	Delivery plans formulated and responsibility for delivery linked into annual appraisal system for individuals; using established delivery process and ways of working (agreed NPA grant procedures/criteria) compliant with state aid requirements; holding regular team meetings to review progress and share good practice; building on established working relationships with partners and land managers and developing collaborative approaches; have additional capacity if required through local consultants; externally funded schemes fundamental to wildlife corridor delivery, improving monitoring to demonstrate delivery; annual reporting to Members via NPA/Scrutiny Committee; forward delivery plan to deliver Business Plan targets in place;											
Probability	H	Objectives	M	Financial	M	Services	M	Reputation	M	Category	2	
Phase 3 - Risk Reduction Actions												
Reduction	201/25 - Continue to review rationales to make sure that they are up to date with the ecological priorities of the North York Moors, current best practice and new understanding of target species requirements, whilst at the same time ensuring no harm is caused to non-target species and change is sustainable (ongoing)					Action Manager	NYM DIR CONSERV NYM Ho Nat Env		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/26 - Review Departmental delivery plan progress and budgets on a monthly basis					Action Manager	NYM DIR CONSERV NYM Ho Nat Env		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/31 - Continue to improve communication/promotion of habitat connectivity concepts and NPA's objectives/progress with NPA staff/Members, farmers and land owners to explain the benefits and encourage a proactive approach					Action Manager	NYM DIR CONSERV		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/32 - Continue to look for opportunities to deliver effectively on a large scale and think strategically, whilst maintaining a legally compliant and value for money approach; Ongoing through Ryevitalise and early thoughts for s106; also discussions with National Trust regarding Bransdale opportunities to be continued					Action Manager	NYM DIR CONSERV NYM Ho Nat Env		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/34 - Continue to review our approach and priority for this area following Brexit, Ryevitalise and the ELMS and look for further opportunities					Action Manager	NYM DIR CONSERV		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/190 - Work with others including Natural England to ensure their activities are aligned with Park Purposes					Action Manager	NYM DIR CONSERV		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/191 - Ensure forest design plans deliver Park Purposes					Action Manager	NYM DIR CONSERV		Action by	Thu-31-Mar-22	Completed	0%
Reduction	201/192 - Finalise the Wildlife Delivery Plan and carry out implementation					Action Manager	NYM DIR CONSERV		Action by	Thu-31-Mar-22	Completed	0%
Phase 4 - Post Risk Reduction Assessment												
Probability	M	Objectives	M	Financial	M	Services	L	Reputation	L	Category	4	
Phase 5 - Fallback Plan												
Fallback Plan										Action Manager		

North York Moors National Park Authority – Strategic

Risk Register: March 2021 Review – detailed

Phase 1 - Identification											
Risk Number	201/581	Risk Title	201/581 - Landscapes Review				Risk Owner	NYM CEO		Manager	NYM CEO
Description	Failure to effectively engage with Government in taking forward its response to the Landscapes Review (including creation of a new National Landscapes Service) potentially resulting in changes to statutory responsibilities, reduced flexibility to procure & operate specific services, structural changes at local level resulting from implementation of new central body, governance changes that limit local accountability of the NPA and damage its reputation.					Risk Group	Strategic		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Close liaison taking place between National Parks England & Defra is resulting in effective flow of information about government's intentions. Building relationships with Defra officials with view to influencing outcomes. Defra has signalled intention to make a response in early 2021. NPA has published a response to Landscape review recommendations.								
Probability	M	Objectives	L	Financial	H	Services	M	Reputation	L	Category	2
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed	%	
Reduction	201/210 - Contribute constructively to conclusion of government's response to Review and subsequent implementation of recommendations						NYM CEO NYM Members	Wed-31-Mar-21		10%	
Reduction	201/211 - Co-ordinate with other NPAs in gathering intelligence and providing input to Defra						NYM CEO	Thu-31-Mar-22		0%	
Reduction	201/212 - Maintain/ increase collaboration with other NPAs & AONBS						All Directors NYM CEO	Thu-31-Mar-22		0%	
Reduction	201/213 - Inform & engage key stakeholders on NYMNPA views including MPs						NYM CEO NYM Chair	Wed-30-Jun-21		50%	
Reduction	201/214 - Ensure effective communications to and manage expectations with Members and staff						NYM CEO NYM Chair	Thu-31-Mar-22		0%	
Reduction	201/215 - Contingency planning for plausible outcomes and potential range of impacts						All Directors NYM CEO	Wed-31-Mar-21		10%	
Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	L	Financial	L	Services	L	Reputation	L	Category	5
Phase 5 - Fallback Plan											
Fallback Plan										Action Manager	

North York Moors National Park Authority – Strategic

Risk Register: March 2021 Review – detailed

Phase 1 - Identification											
Risk Number	201/582	Risk Title	201/582 - Management Plan				Risk Owner	NYM CEO		Manager	NYM CEO
Description	Failure to effectively develop and agree a new National Park Management Plan (particularly in the light of the Landscapes Review) resulting in lack of strategic clarity for National Park Authority and erosion of confidence amongst major stakeholders.					Risk Group	Strategic		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Member-led Management Plan Working Group in place to report to the NPA and oversee the process. Internal staff group with project sponsorship by CEO and full-time project management via Head of Policy. Detailed project plan with detailed timeline and critical dependencies drawn up. NPE working group created and led by CEO NYMNPA to co-ordinate on consistency with other NPAs. Early engagement with Defra and other statutory agencies such as Natural England and Forestry Commission.								
Probability	M	Objectives	H	Financial	L	Services	M	Reputation	H	Category	2
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed	%	
Reduction	201/216 - Management Plan WG to be constituted, chair appointed and cycle of meetings arranged						NYM CEO NYM DoP	Sun-31- Jan-21		90%	
Reduction	201/218 - Regular review of the project plan (ongoing)						NYM CEO NYM DoP	Fri-31-Dec- 21		0%	
Reduction	201/219 - External engagement and consultation approach to be set up with focus on engagement with critical and statutory consultees						NYM CEO NYM DoP	Wed-31- Mar-21		40%	
Reduction	201/221 - Defra and NPA CEOs to ensure consistency and alignment of MP content with outcomes of Glover Review						NYM CEO	Fri-31-Dec- 21		10%	
Reduction	201/231 - Develop the Authority Business Plan in parallel with the Management Plan to reduce risk of inertia in the event of delay to the Management Plan						All Directors NYM CEO NYM Ho PM	Thu-30- Sep-21		0%	
Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	L	Financial	L	Services	L	Reputation	L	Category	5
Phase 5 - Fallback Plan											
Fallback Plan										Action Manager	

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 - Identification											
Risk Number	201/464	Risk Title	201/464 - Woodsmith Mine - Implementation				Risk Owner	NYM CEO		Manager	NYM DoC
Description	Failure to deliver the terms of the Section 106 agreement and effectively manage the planning implementation aspects of the development including any subsequent permissions resulting in adverse national / international publicity and harm to the National Park and local residents. The authority:- a) fails to meet its obligations as a Planning Authority in discharging Planning Conditions; b) is unable to deliver the mitigating and compensatory elements of the Section 106 and this therefore causes harm to the Park; c) fails to plan sufficiently so that overall scale of dealing with the implementation of the development significantly disrupts the functioning of the rest of the organisation					Risk Group	Strategic		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures	Compensation/mitigation plan in place; Financial resources available; annually reviewed key contribution security and restoration bond in place; appropriate knowledge and expertise in place; close collaboration to ensure necessary resources are available to achieve alignment of project timetables; Community Forum in place; financial monitoring arrangements in place; strict separation of 106 Sirius monies from core resources marketing and tourism plans in place; good relationship and clarity with Third Parties regarding the s106 agreement; arrangements set up for timely completion of annual and occasional legal agreements with both Sirius and with 3rd parties; external audit of management of s106 monies; new project manager recruited; further integration with Conservation team achieved;										
Probability	L	Objectives	H	Financial	H	Services	H	Reputation	H	Category	3
Phase 3 - Risk Reduction Actions											
						Action Manager	Action by	Completed	%		
Reduction	201/1628 - Continue to work with Sirius Minerals /or successor company to ensure a positive working relationship to enable the discharging of planning conditions and the commencement of the development to progress as smoothly as possible (ongoing)					NYM DIR CONSERV	Thu-31-Mar-22		0%		
Reduction	201/1738 - Ongoing Implementation of action plan for delivery of all compensatory aspects of S106 Agreement (ongoing)					NYM DIR CONSERV	Sat-30-Apr-22		0%		
Reduction	201/1739 - Ensure robust processes are followed and seek external advice where risk areas are identified in relation to major scheme changes (ongoing)					NYM DIR CONSERV	Sat-30-Apr-22		0%		
Reduction	201/1740 - Implementation of the findings of the Tourism Impact Review (ongoing)					NYM DIR CONSERV	Thu-31-Mar-22		0%		
Reduction	201/1873 - Work with major landowners to identify woodland creation sites (ongoing)					NYM DIR CONSERV	Thu-31-Mar-22		0%		
Reduction	201/1893 - Monitor ongoing situation of Sirius Minerals' development funding					NYM DIR CONSERV	Thu-31-Mar-22		0%		
Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	M	Financial	H	Services	M	Reputation	H	Category	3
Phase 5 - Fallback Plan											
Fallback Plan										Action Manager	

North York Moors National Park Authority – Strategic

Risk Register: **March 2021 Review – detailed**

Phase 1 – Identification											
Risk Number	201/560	Risk Title	201/560 - Climate Change Arrangements for the Park Authority				Risk Owner	NYM CEO		Manager	NYM Ho CS
Description	Failure to achieve net carbon zero or positive for the National Park Authority by 2030 resulting in inability to meet public expectations, government targets for public bodies and significant reputational damage.					Risk Group	Strategic		Risk Type		
Phase 2 - Current Assessment											
Current Control Measures			Many long standing reductions in energy use in buildings; member of All UK National Parks Climate Change Action Group; decision made to procure electric fleet vehicles by 2024; Climate Change and Carbon Reduction Officer in place from Jan 2021; all electricity used by the NPA is supplied from renewable sources. Work programme in place								
Probability	M	Objectives	L	Financial	L	Services	L	Reputation	M	Category	4
Phase 3 - Risk Reduction Actions											
						Action Manager	Action by	Completed	%		
Reduction	201/18 - Establish a clear and shared understanding of the current UK policy framework as it relates to targets for the public sector					NYM Ho CS	Thu-1-Apr-21		0%		
Reduction	201/1282 - Initiate procurement to replace existing fleet with electric vehicles					NYM CEO NYM Ho CS	Thu-31-Mar-22		0%		
Reduction	201/1289 - Check existing data on energy use for accuracy and establish simple robust systems for on-going monitoring					NYM Ho CS	Thu-1-Apr-21		0%		
Reduction	201/1290 - Establish a programme of communication with staff to raise awareness and enhance their contribution to carbon reduction					NYM DIR CONSERV NYM Ho CS	Mon-31-May-21		0%		
Reduction	201/1295 - Establish a priority order of work to maximise carbon reduction in NPA's activities with a clear understanding of costs					NYM Ho CS	Mon-31-May-21		0%		
Reduction	201/1392 - Develop an NPA carbon reduction policy covering travel, procurement of goods and buildings, linking to the national policy framework					NYM Ho CS	Sat-31-Jul-21		0%		
Reduction	201/1742 - Establish the levels of carbon reduction likely to accrue from post c-19 revised working patterns					NYM Ho CS	Sat-31-Jul-21		0%		
Reduction	201/1748 - Explore opportunities and find financial support to secure resources to carry out decarbonisation of the Authority's buildings work					NYM Members NYM Mgt Team	Thu-31-Mar-22		0%		
Reduction	201/1884 - Establish the terms of reference and undertake a review of the Authority's property, with one of the outcomes being a significant reduction in carbon emissions					NYM Ho CS	Fri-31-Dec-21		0%		
Phase 4 - Post Risk Reduction Assessment											
Probability	M	Objectives	L	Financial	L	Services	L	Reputation	L	Category	5
Phase 5 - Fallback Plan											
Fallback Plan										Action Manager	